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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/798,074 Confirmation No. 5321
Applicant : KOVARIK, JR.
Filed : March 11, 2004
TC/A.U. : 2131
Examiner :

Docket No. : 7162-0110
Customer No. : 39207

TRANSMITTAL LETTER

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find enclosed for filing:

- ✓ Supplemental Information Disclosure Statement
- ✓ PTO Forms SB08A and SB08B
- ✓ Copy of European Search Report; References
- ✓ Other: Postcard Receipt
- ✓ Please charge any deficiencies or credit any overpayments to Deposit Acct. No. 08-0870.

Respectfully submitted,

Date: Mar. 22, 2006

Robert J. Sacco
Registration No. 35,667
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Certificate Under 37 C.F.R. 1.8(a)

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97**


Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the Duty to Disclose under 37 C.F.R. §1.56, the references cited on the accompanying forms PTO SB08A and SB08B are hereby brought to the attention of the Examiner for independent evaluation. The references were cited in part in a search report drawn up by the European Patent Office on a corresponding European Patent application. A copy of the Search Report is enclosed, which satisfies the requirement for a brief explanation of relevance of foreign language documents. This paper is submitted in accordance with 37 CFR 1.97(b)(3). A fee is not required for consideration of these documents. However, should a fee be deemed to be due by the Commissioner, such fee should be charged to Deposit Account No. 08-0870.


Respectfully submitted,

Date: Mar. 22, 2006


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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/798,074
		Filing Date	March 11, 2004
		First Named Inventor	KOVARIK, JR.
		Art Unit	2131
		Examiner Name	
Sheet 2	of 2	Attorney Docket Number	7162-0110

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		MEADOWS, C., "Extending the Brewer-Nash Model to a Multilevel Context" IEEE, Proceedings of the Symposium on Research in Security and Privacy. Oakland, May 7-9, 1990	
		STICKEL, M., "Elimination of Inference Channels by Optimal Upgrading" Research in Security and Privacy, 1994. 1994 IEEE Computer Society Symposium in Oakland, CA, May 16-18, IEEE Comput. Soc, 16 May, 2004, pages 168-174	
		DENNING, D., "A Lattice Model of Secure Information Flow" COMMUNICATIONS OF THE ACM, Vol. 19, No. 5, May 1976, pp 236-243.	
		NULL, L., et al., "A Unified Approach for Multilevel Database Security Based on Inference Engines" SIGCSE BULLETIN, ACM, New York, NY Feb. 23, 1989, pp 108-111	
		SAMARATI, et al., "Access Control: Policies, Models, and Mechanisms" Lecture Notes in Computer Science, Springer Verlag, New York, NY Vol. 2171, June 2003, pp 137-196	
		SANDHU, R., "Lattice-Based Access Control Models" Online: URL: http://doi.ieeeecomputersociety.org/10.1109/2.241422 retrieved on 10/25/2005	
		BREWER, D., et al., "The Chinese Wall Security Policy" Proceedings of the Symposium on Security and Privacy. Oakland, May 1-3, 1989, Washington, IEEE Comp. Soc. Press, US	
		SANDHU, R., "The Typed Access Matrix Model" IEEE, Proceedings of the Computer Society Symposium on Research in Security and Privacy. Oakland, May 4-6, 1992, Los Alamitos, IEEE Comp. Soc. Press, US., Vol. SYMP. 13, May 4, 1992, pp. 122-136	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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